

Non-Qualified TRANSFER OF ASSETS

Please complete this form only if you are transferring assets directly to a new or existing non-qualified account with the Donoghue Forlines Funds. Please complete a separate form for each account you wish to transfer. Transfers may take 3 to 5 weeks to complete after your paperwork is received in good order.

For Additional Copies or Assistance

If you need additional copies of this form, or would like assistance completing it, please call **(877) 779-7462** or go to **www.donoghueforlinesfunds.com**.

Instructions

1. If you are establishing a new account, please contact **(877) 779-7462** or go to <u>www.donoghueforlinesfunds.com</u>

additional information that must be submitted with this Form.

2. Mail this Transfer Form to:

Donoghue Forlines Funds P.O. Box 541150 Omaha, Nebraska 68154 Overnight Delivery:

Donoghue Forlines Funds 4221 N. 203rd Street, Suite 100 Elkhorn, Nebraska 68022

3. Retain a copy for your records.

Donoghue Forlines Funds Privacy Policy Statement

Your privacy is important to us. The Donoghue Forlines Funds is committed to maintaining the confidentiality, integrity and security of your personal information. When you provide personal information, the Fund believes that you should be aware of policies to protect the confidentiality of that information.

The Fund collects the following nonpublic personal information about you:

- Information we receive from you on or in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income and date of birth; and
- Information about your transactions with us, our affiliates, or others, including, but not limited to, your account number and balance, payments history, parties to transactions, cost basis information, and other financial information.

The Fund does not disclose any nonpublic personal information about our current or former shareholders to nonaffiliated third parties, except as permitted by law. For example, the Fund is permitted by law to disclose all of the information we collect, as described above, to our transfer agent to process your transactions. Furthermore, the Fund restricts access to your nonpublic personal information to those persons who require such information to provide products or services to you. The Fund maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

In the event that you hold shares of the Fund through a financial intermediary, including, but not limited to, a broker-dealer, bank, or trust company, the privacy policy of your financial intermediary would govern how your nonpublic personal information would be shared with nonaffiliated third parties.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Donoghue Forlines Funds Privacy Policy Statement, please contact the Fund at **(877) 779-7462** or contact the Donoghue Forlines Funds at PO Box 541150, Omaha, NE 68154.

1. ACCOUNT REGISTRATION	
Please provide your primary legal address, in addition to any mailing address	ess (if different).
Owner's Name (First, Middle, Last)	Social Security Number
Street Address	Date of Birth
City, State, Zip	Daytime Telephone
Email Address	Evening Telephone
☐ This is a new account. I have completed and enclosed an Applic	cation with this transfer form.
☐ This is an existing account. Please apply transfer proceeds to m	y account number:
2. INFORMATION ABOUT YOUR EXISTING ACC	COUNT
Name of Firm Currently Holding Your Account	Account Name
Street Address	Account Number
City, State, Zip	Firm Telephone Number
Please attach a copy of the most re	ecent statement for this account.
3. TRANSFER INFORMATION	
Please transfer assets from the above account to Donoghue Foto the following instructions:	orlines Funds. Transfers should be in cash or in kind according
This transfer is a: (check one)	The type of account I am transferring from is a: (check one)
$\hfill\Box$ Complete Transfer. Please liquidate all assets in my account.	□ Individual
☐ Partial Transfer. Liquidate \$from my account.	☐ Joint Tenant☐ Transfer on Death☐ Trust
☐ Transfer in kind: Please transfershares of	□ Other
(Fund Name)	The type of account I am transferring to is a: (check one)
	☐ Individual
	☐ Joint Tenant ☐ Transfer on Death
	☐ Trust
	□ Other

4. CERTIFICATIONS AND SIGNATURES

I hereby authorize this liquidation and/or transfer in kind from my current financial institution to the account designated on this form. By signing below, I certify the information set forth herein is accurate and I have received and read a prospectus for the funds in which I am making my investment. To the extent that I have requested a redemption of mutual fund shares in connection with my transfer, I understand that such shares will be redeemed at the net asset value next determined after my transfer request is reviewed and determined to be in good order by the delivering firm.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Medallion Signature Guarantee* (if required):

Some Firms require a Medallion Signature Guarantee to transfer assets. Please check with your current firm to see if they require a Medallion Signature Guarantee. Failure to obtain a required signature guarantee may result in a delay in the transfer of assets.

Account Owner's Signature	Date
Joint Owner's Signature (if applicable)	Date
	broker-dealer, a credit union, a national securities exchange, savings association ded Medallion Guarantee Program. A signature by a Notary Public is not acceptable

5. TRANSFER INSTRUCTIONS

lake check payable to:	
Oonoghue Forlines Funds	
BO:	
account Number:	

Mail this Transfer Form to:

Donoghue Forlines Funds

P.O. Box 541150 Omaha, Nebraska 68154 Or Via Overnight Delivery 4221 N. 203rd Street, Suite 100 Elkhorn, NE 68022

Internet

www.donoghueforlinesfunds.com

as a signature guarantee.

PRIVACY NOTICE

NORTHERN LIGHTS VARIABLE TRUST

Rev. February 2014

FACTS	WHAT DOES NORTHERN LIGHTS VARIABLE TRUST DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	The types of personal information we collect and share depends on the product or service that you have with us. I information can include: • Social Security number and wire transfer instructions • account transactions and transaction history • investment experience and purchase history When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.	

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Northern Lights Variable Trust chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Northern Lights Variable Trust share information?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes - to offer our products and services to you.	NO	We don't share
For joint marketing with other financial companies.	NO	We don't share
For our affiliates' everyday business purposes - information about your transactions and records.	NO	We don't share
For our affiliates' everyday business purposes - information about your credit worthiness.	NO	We don't share
For nonaffiliates to market to you	NO	We don't share

QUESTIONS? Call 1-402-493-4603

PRIVACY NOTICE

NORTHERN LIGHTS VARIABLE TRUST

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What we do:	
How does Northern Lights Variable Trust protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
	Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.
How does Northern Lights Variable Trust collect my personal information?	 We collect your personal information, for example, when you open an account or deposit money direct us to buy securities or direct us to sell your securities seek advice about your investments
	We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	 Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness. affiliates from using your information to market to you. sharing for nonaffiliates to market to you.
	State laws and individual companies may give you additional rights to limit sharing.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. • Northern Lights Variable Trust does not share with its affiliates.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • Northern Lights Variable Trust does not share with nonaffiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. • Northern Lights Variable Trust doesn't jointly market.